

# **Cherwell District Council**

## **Accounts Audit and Risk Committee**

**28 June 2017**

<p><b>Internal Audit – Annual Report for 2016/17 and Progress Report 2017/18</b></p>
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### **Report of the Chief Finance Officer**

This report is public

#### **Purpose of report**

To receive PwC's annual report for 2016/17, internal audit reports finalised since the last meeting and the CWAS progress report for 2017/18.

#### **1.0 Recommendations**

The meeting is recommended to note:

- 1.1 the contents of the 2016/17 annual report from PWC and audit reports finalised since the last meeting.
- 1.2 the contents of the 2017/18 progress report from CWAS.

#### **2.0 Introduction**

- 2.1 Internal Audit undertakes its work in line with their Audit Plan issued in March each year.

#### **3.0 Report Details**

- 3.1 PWC have yet to complete their planned work programme for 2016/17 but are due to issue an annual report, see Appendix 1 (to follow) and two reports covering reviews of Risk Management (see Appendix 2) and Finance (see Appendix 3).
- 3.2 CWAS is on track to deliver its planned programme of work for the 2017/18 year see Appendix 4.

#### **4.0 Conclusion and Reasons for Recommendations**

- 4.1 The PWC annual reports summarise their work for 2016/17 and report on the findings of the Risk Management Review and Finance Review.

4.2 The CWAS report provides details of progress against the programme of work for 2017/18.

## **5.0 Consultation**

None

## **6.0 Alternative Options and Reasons for Rejection**

6.1 The following alternative options have been identified and rejected for the reasons as set out below.

Option 1: Not applicable as this report is for information. However, members may wish to request further information from the Chief Internal Auditor.

## **7.0 Implications**

### **Financial and Resource Implications**

7.1 There are no financial implications arising directly from any outcome of this report.

Comments checked by:

Sanjay Sharma, Interim Head of Finance, 01295 221564

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### **Legal Implications**

7.2 There are no legal implications arising directly from any outcome of this report.

Comments checked by:

Kevin Lane, Head of Law & Governance, 0300 0030107

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### **Risk Management Implications**

7.3 There are no risk management issues arising directly from this report

Comments checked by:

Louise Tustian, Team Leader – Strategic Intelligence and Insight, 01295 221786

[louise.tustian@cherwellandsouthnorthants.gov.uk](mailto:louise.tustian@cherwellandsouthnorthants.gov.uk)

### **Equality and Diversity**

7.4 There are no equality and diversity issues arising directly from this report

Comments checked by:

Caroline French, Corporate Policy Officer, 01295 221586

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## 8.0 Decision Information

### Wards Affected

All wards are affected

### Links to Corporate Plan and Policy Framework

All corporate plan themes.

### Lead Councillor

None

### Document Information

Appendix No	Title
Appendix 1	PWC Annual Report for 2016/17 (to follow)
Appendix 2	PWC Risk Management Review
Appendix 3	PWC Finance Review
Appendix 4	CWAS Progress Report 2017/18.
Background Papers	
None	
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